

***Overview of Status of Implementation of Management Action Plans  
Prepared in Response to Inspection Panel Eligibility and Investigation Reports***

<b>#</b>	<b><i>Project</i></b>	<b><i>Latest Progress Report</i></b>	<b><i>Next Progress Report Due</i></b>
1	Uganda - Private Power Generation Project (Bujagali) (IPN Case # 44)	5 <sup>th</sup> progress report, December 2015	6 <sup>th</sup> progress report, December 2016
2	Albania - Integrated Coastal Zone Management and Clean-Up Project (IPN Case # 47)	4 <sup>th</sup> progress report, January 2012	Final Note upon completion of all court proceedings in Albania (no predictable timeline)
3	India - Vishnugad Pipalkoti Hydro Electric Project (IPN Case # 81)	1 <sup>st</sup> progress report, October 2015	2 <sup>nd</sup> progress report, October 2016
4	Ethiopia - Promoting Basic Services Program, Phase 3 (PBS 3) (IPN Case # 82)	1 <sup>st</sup> progress report, February 2016	2 <sup>nd</sup> progress report, February 2017
5	Nepal - Power Development Project (IPN Case # 90)	-	1 <sup>st</sup> progress report, July 2016
6	Kenya - Electricity Expansion Project (IPN Case # 97)	-	1 <sup>st</sup> progress report, October 2016
7	Uzbekistan - Second Rural Enterprise Support Project (IPN Case # 89)	Technical Briefing Update to the Board November 2015	2 <sup>nd</sup> progress report, June 2016

*Reporting intervals may vary according to the Board's specific request at the time of Action Plan approval.*

## Uganda - Private Power Generation Project (Bujagali)

*Fifth Progress Report on the implementation of Management's Action Plan in response to the Inspection Panel Investigation Report (Report # 44977-UG) on the Republic of Uganda Private Power Generation (Bujagali) Project (IDA Guarantee No. B0130-UG), December 10, 2015*

### DETAILED STATUS OF ACTIVITIES IN THE MAP

Issues	Actions (Initial Commitment)	Status
<b>MAP</b>		
<b>General</b>		
Institutional Capacity	Management will follow up on: <ul style="list-style-type: none"> <li>Establishment of a Project Monitoring Committee (Inter Agency Coordination Committee)</li> </ul>	<b>Completed.</b> The Committee was established in January 2009 and met on a bi-monthly basis to monitor Project activities. The Joint Lenders' Supervision Mission of November 2011 confirmed the satisfactory performance of the two committees that were established to facilitate coordination at inter-agency and sub-national levels.
	<ul style="list-style-type: none"> <li>Strengthening of capacities of BEL ESU</li> </ul>	<b>Completed.</b> BEL hired four new staff in the ESU and increased its on-site training and monitoring of the CDAP. Several environmental and social safeguards training sessions were held during the period 2010-2012.
Guidance on Environmental and Social Safeguard Issues in Legacy Projects	<ul style="list-style-type: none"> <li>Management will develop guidance on how to address environmental and social safeguards issues in legacy projects that suffer significant interruptions in implementation.</li> </ul>	<b>Completed.</b> The <i>Interim Guidelines for Addressing Legacy Issues in World Bank Projects</i> were completed and disclosed in 2009.
<b>Social Impact Assessment and Mitigation Measures</b>		
Vulnerable Groups (OP4.12)	<ul style="list-style-type: none"> <li>Management will follow up on BEL's programs, with timetable and targeted activities, to address needs of vulnerable groups.</li> </ul>	<b>Completed.</b> BEL completed all activities as outlined in the Social and Environmental Action Plan community designed programs for vulnerable and at-risk groups. Management reviewed BEL's activities/programs and assessed them as satisfactory.
<b>Cultural and Spiritual Values</b>		
Physical Cultural Resources and Cultural Property Management Plan (OP4.11)	<ul style="list-style-type: none"> <li>Management will follow up on GoU commitments to ensure that the required capacities and resources are in place for the Government – coordinated by the MEMD, and including Local Councils – to update</li> </ul>	<b>Completed.</b> The CPMP was disclosed in country and in the Bank's InfoShop in August 2010. Ceremonies for the Bujagali spirits relocation site and the accompanying

Issues	Actions (Initial Commitment)	Status
	and implement the CPMP, which was part of the 2002 RCDAP by June 2009.	appeasement ceremonies were completed in 2011.
	<ul style="list-style-type: none"> <li>▪ BEL will update the EPC Contractor’s Code of Practice (which is covered in the 2007 CPMP developed by the Contractor) to include “chance find” procedures.</li> </ul>	<b>Completed.</b> Management assessed monitoring and reporting by BEL of the CPMP, including the EPC Contractor’s Code of Practice for “chance find” procedures, and found them to be satisfactory.
<b>Environmental Assessment and Mitigation Measures</b>		
Independent Panel of Experts (OP4.01 and OP13.05)	<ul style="list-style-type: none"> <li>▪ BEL will review the Environment and Social Independent Panel of Experts (PoE) reports and disclose them by end-2008.</li> </ul>	<b>Completed.</b> Management confirmed that PoE reports are filed and publicly available on BEL’s website.
<b>Ongoing Supervision Activities</b>		
<b>General</b>		
Institutional Capacity	Management will follow up on: <ul style="list-style-type: none"> <li>▪ Coordination arrangements of the MEMD Project Inter-Agency Coordination Committee; and</li> </ul>	<b>Completed.</b> The GoU has established a coordination and oversight structure led by a high level Steering Committee that has been functional since September 2008. The structure includes a multi-stakeholder Bujagali Monitoring Committee.
	<ul style="list-style-type: none"> <li>▪ The NFA implementation capacity for the SMP for the Kalagala Offset and Mabira Central Forest Reserve.</li> <li>▪</li> </ul>	<b>Completed.</b> Capacity development measures have been undertaken for NEMA and NFA, and field-based training programs will be continued.
<b>Social Impact Assessment and Mitigation Measures</b>		
<ul style="list-style-type: none"> <li>▪ Remedial Steps for Updating and Completion of Baseline Socio-Economic Information (OP4.12)</li> </ul>	Management will ensure that: <ul style="list-style-type: none"> <li>▪ Findings from the socio-economic survey (which will be completed by March 2009) are integrated into the CDAP by BEL in its design of subproject activities;</li> </ul>	<b>Completed.</b> The socio-economic survey, which was reported in APRAP (Assessment of Past Resettlement Activities and Action Plan, 2004), was updated twice (December 2010 and November 2011) using a follow up socio-economic survey. The results of the surveys were reported and disclosed on the Project’s website, where the general outcome indicated an improvement in incomes and access to livelihood options. The survey results were integrated into CDAP design of sub-project activities.
	<ul style="list-style-type: none"> <li>▪ Above findings are reported in BEL’s Quarterly Environment and Social Monitoring;</li> </ul>	<b>Completed.</b> Indicators of socio-economic impacts measured and reported in the Quarterly Environment and Social Monitoring

Issues	Actions (Initial Commitment)	Status
	<ul style="list-style-type: none"> <li>▪ BEL will undertake an enhanced socio-economic survey to support and fully achieve livelihood restoration. It will enhance its database of household survey data and capacity building for monitoring and evaluating impacts of livelihood restoration and community development; Through technical assistance (from MIGA), BEL will improve the socio-economic database.</li> </ul>	<p>Report (QESMR) using updated survey data on household income and livelihoods. On-the-job training for new BEL staff on monitoring and evaluating impacts continues. A specific technical officer at BEL has now been given an extra task of follow up monitoring and evaluation of activities.</p> <p><b>Completed.</b> Socio-economic database set up in an electronic file and measured quarterly and reported in the QESMR.</p>
Sharing of Project Benefits (OP4.12)	<ul style="list-style-type: none"> <li>▪ Management will follow up with BEL on yearly updated needs assessments that are used to adjust CDAP activities, responding to priorities of Project affected people.</li> </ul>	<p><b>Substantially Completed.</b> Management followed up with BEL on progress in delivery of services in Naminya Resettlement Area and directly affected villages. Provision of water supply services and market stalls is complete. Construction of the electricity distribution network and connection of households and a health center to the power grid is also complete at the Naminya Resettlement Area. The extension of electricity distribution network has progressed about 95 percent. Electrification of nine other villages within the Project area is nearing completion; connection uptake is in progress. Enhancement of connection rate is being pursued through Bank-supported Output Based Aid program and Energy for Rural Transformation projects.</p>
<b>Environmental Assessment and Mitigation Measures</b>		
Environment Management Plan and Kalagala Offset Area (OP4.01)	<ul style="list-style-type: none"> <li>▪ Management will monitor progress of BEL's ongoing afforestation activities (79 hectares completed; additional 125 hectares by end-2008; 196 hectares by end-2009) as part of the EMP jointly implemented by BEL, District</li> </ul>	<p><b>Completed.</b> Target of 444 hectares reforested. Management assessed as satisfactory BEL's ongoing afforestation activities.</p>

Issues	Actions (Initial Commitment)	Status
	<p>Environmental Officer, District Forest Officer, and LC1;</p> <ul style="list-style-type: none"> <li>▪ Management will follow up on completion by NFA of the SMP for the Kalagala Offset, which includes the Mabira Central Forest Reserve by June 2009, including tourism development program.</li> </ul>	<p><b>Completed.</b> The Kalagala Offset SMP, including the Mabira Central Forest Reserve, was completed in 2010 by the International Conservation Union-Uganda, under contract with the Project, and its implementation launched by the GoU in 2011.</p>
<p>Cumulative Impacts: Climate Change and Hydrology Risks; Potential Impacts on Lake Victoria; Alternative Project Configurations (OP4.01)</p>	<ul style="list-style-type: none"> <li>▪ Management will follow up on the GoU's commitment to disclose the Lake Victoria hydrological (water releases) information and make it available to the EAC.</li> </ul>	<p><b>Completed.</b> The GoU disclosed the Lake Victoria hydrological (water releases) data starting in 2008 and, since then, the information has been made available to the EAC on a regular basis.</p>

## **1. Albania - Integrated Coastal Zone Management and Clean-Up Project**

*Fourth Progress Report on the implementation of Management's Action Plan in response to the Inspection Panel Investigation Report (Report # 46596-AL) on the Albania Integrated Coastal Zone Management and Clean-up Project (IDA credit no. 4083-ALB), January 13, 2012.*

All actions of the Management Action Plan are completed with the exception of the continuing implementation of the independently monitored judicial review. The fourth progress report provides details on actions related to the latter. It also records the completion of the Social Vulnerability Assessment and actions related to communication with civil society and business community, and the implementation progress of the restructured Project to date.

This is the last Progress Report that Management submits concerning implementation of the actions of the Management Action Plan. However, the Bank will carry on monitoring the progress of the case-by-case judicial review by continuing to utilize a team of independent observers, as well as monitoring the progress of project activities. Upon completion of the District Court and Court of Appeals processes, Management will issue a final report to the Board of Executive Directors concerning the outcomes of the cases.

## 2. India - Vishnugad Pipalkoti Hydro Electric Project

*First Progress Report on the implementation of Management's Action Plan in response to the Inspection Panel Investigation Report (INSP/89109-IN) on the India Vishnugad Pipalkoti Hydro Electric Project (Loan No. 8078-in), October 28, 2015.*

### DETAILED STATUS OF ACTIVITIES IN THE MAP

ACTION	STATUS
<p>1. <b>Water loss and alternative water sources:</b> The Panel found that Management complied with OP/BP 4.01 by undertaking the baseline studies to document village water sources along the tunnel alignment routes and ensuring that THDC committed to provide alternative water sources in the event that an existing source was lost. However, the Panel found that the Bank did not identify detailed and adequate mitigation measures that could be operationalized if a water source were lost, in non-compliance with OP/BP 4.01.</p>	<p><b>Completed.</b> Action to address the findings of the Panel with respect to Water Loss and Alternative Water Sources is now complete. In response to the Panel's recommendation, THDC hired the consultancy services of a former Executive Engineer in the Department of Rural Water Supply of the State Government of Uttarakhand. This expert conducted village surveys of existing and potential water sources in the 15 villages that could be affected by excavation works. In consultation with local communities, the expert developed an inventory of existing water supply infrastructure (source location, water supply reservoir, length of distribution system, service levels, etc.), and also located and documented possible alternative or additional sources along with their estimated costs. The detailed report for each village has been submitted to THDC and will serve as a planning tool to develop an alternative water supply scheme if water loss is reported by any village. The report is available in both English and Hindi at the PIC.</p>
<p>2. <b>Resettlement and Livelihood Restoration:</b> The Panel understood that resettlement and rehabilitation efforts were underway and almost half of the eligible families had already received their R&amp;R assistance. However, with respect to Hatsari (where eight families were affected), the Panel found that the Project RAP did not adequately assess the Hatsari reality, in non-compliance with Bank Policy OP/BP 4.12 on Involuntary Resettlement. The Panel recognized, however,</p>	<p><b>Ongoing.</b> Progress has been made in addressing the Panel's concerns regarding the coverage of the hamlet of Hatsari under the Project's RAP. At the time that the Panel presented its findings to the Board, only two of the 17 Hatsari families had agreed to accept THDC's proposal of relocation. THDC continued negotiations with the families of Hatsari on the various compensation options open to them (permanent relocation or temporary relocation accompanied by the leasing of their lands, etc.). THDC also involved the State administration (the office of the District Magistrate, the most senior representative of the State Government in the District) in this arbitration process. The Bank task team also met with representatives of the Hatsari families to help them arrive at an acceptable resolution with THDC. As a result of these combined efforts, six additional families signed agreements with THDC to sell their land to the company and relocate, and one family is in the process of signing.</p>

ACTION	STATUS
<p>Management's concern for the Hatsari issue to date and the fact that negotiations were still continuing with a wide range of options on offer to Hatsari residents.</p>	<p>The R&amp;R compensation package provided to these families is identical to that provided to the broader Haat village, and includes the equivalent land value, at the rate of 1 100,000 Rupees per nalli (one nalli is equal to 200 sq. meters) and a financial grant of INR 1 million (USD 16,667).</p> <p>In order to reach agreement with the remaining 8 families, THDC will seek the support of elected local representatives. The Bank task team will also continue to support THDC in its negotiations with the remaining families, through upcoming missions to the site in November 2015, in which it will meet with representatives of the remaining families. THDC has assured the Bank that it will continue to address the issue of resettlement and restoration of Hatsari families.</p>

### 3. Ethiopia Promoting Basic Services Project Phase III (PBS 3)

*First Progress Report on the implementation of Management’s Action Plan in response to the Inspection Panel Investigation Report (Report # 91854-ET) on the Ethiopia Promoting Basic Services Project Phase III (PBS 3) (P128891), February 11, 2016.*

#### DETAILED STATUS OF ACTIVITIES IN THE MAP

ACTION	STATUS
<b><i>PBS 3 and CDP: Operational Interface</i></b>	
<p><b>Action #1</b> A screening tool, which will be used by District-level staff when preparing proposals for Bank financing of sub-projects under programmatic operations, in order to manage the risks from CDP as well as from other programs, has been developed. The procedure will enable the Bank to support such sub-projects wherever possible, by: (a) managing the operational interface, (b) being able to demonstrate that it has taken all reasonable steps to consider the implications of the interface, and (c) avoiding involvement in non-viable or seriously deficient commune centers. The procedure is simple and is designed to be embedded within the regular Environmental and Social Management Frameworks already in use by such sub-projects. It involves gathering basic data on the commune center and classifying it in terms of its viability. Based on the classification, the Bank determines whether, and how, the Bank-financed sub-project should proceed.</p>	<p><b>Completed.</b> Roll-out of the Alignment of Operations procedure, including a screening tool, was launched on July 16, 2015 in a portfolio-wide meeting in Addis Ababa involving 30 concerned senior federal Government staff implementing Bank-financed projects. Prior to the meeting, the Government approved the screening tool.</p>
<p><b>Action #2</b> Study to help the Bank ensure that staff whose salary is partially funded through block grants under PBS 3 are employed as intended, and possibly help identify issues to watch for when preparing future projects.</p>	<p><b>Completed.</b> The potential role of Development Agents (DAs) in supporting activities such as CDP was cited. The DA Tracking Survey was finalized. It found that there was no evidence of DAs doing work beyond their defined roles. However, as noted in the Management Response, activities carried out during DAs own spare time cannot be verified.</p>

ACTION	STATUS
<b>Reducing systematic social and environmental risks</b>	
<p><b>Action #3</b> Continue to build the capacity of woreda-level staff to implement the Bank’s safeguard policies, including through a woreda-level safeguard manual that can be used across the country.</p>	<p><i>Ongoing.</i> A review of the performance of Environmental and Social Impact Assessment at local Government level, including identification of the challenges and opportunities, is now completed for all regions. The review covered institutional arrangements, existing regulations, and capacity challenges in order to ensure appropriate environmental and social management and impact assessment, in the planning and delivery of basic services supported by PBS 3 at regional and woreda levels. The findings of the review will inform the development of the safeguard manual. Tailor-made training modules will be included in the Environmental and Social Management System Operational Manual, which will be completed by May 2016. Once the safeguard management manual is adopted, this activity will be deemed to be completed. This is a DLI under the ESPES.</p>
<p><b>Action #4</b> Recruit a Senior Operations Specialist within the PBS secretariat to help implement the safeguard policies and provide continuous support to woreda-level staff.</p>	<p><i>Completed.</i> The staff member came on board and has been providing support for safeguards capacity at all levels since May 2015.</p>
<b>Improving Citizen Engagement</b>	
<p><b>Action #5</b> Expand social accountability programs and strengthening the link between social accountability and work on financial transparency</p>	<p><i>Ongoing.</i> The social accountability programs in almost all 223 woredas have reached the service improvement phase (i.e., citizens and service providers are now at the stage of jointly developing service improvement plans). There is an increased demand from regions for the social accountability implementing agency’s help with training on budget consultations. This is a long term effort and for the purposes of this action plan will be deemed completed when the third phase of financing for the Ethiopia Social Accountability Program (ESAP-3) has been completed.</p>
<p><b>Action #6</b> Increase citizen participation in budget decision making at woreda level</p>	<p><i>Completed.</i> The Finance Ministry issued a directive in September 2015 to require all woredas to carry out citizen consultations before budgets are approved. This is a DLI under the ESPES.</p>

ACTION	STATUS
<p><b>Action #7</b> Support the effectiveness of the EIO through training and opening of new regional branches.</p>	<p><b>Completed.</b> The EIO has plans to open the seventh regional office soon in Benishangul-Gumuz. Since the Dire Dawa office serves Dire Dawa, Harar and Somali region, this will bring the number of regions with GRM offices to ten (Addis region is served by the Head Office). The regional office coordinators have been given the statutory position of Regional Ombudsman. A series of awareness raising study tours have been organized to sensitize EIO officials. In addition, administrative GRM (separate from EIO and part of the Government) offices have been established in all 9 regions and two city administrations.</p>
<p><b>Action #8</b> Train grievance redress officers in woredas to become more effective.</p>	<p><b>Ongoing.</b> 222 GRM officers have been trained since the Board discussion. Another 400 will be trained this year. This action will be deemed completed when all woredas are covered.</p>
<p><b>Improve Agriculture and Livelihoods in Gambella</b></p>	
<p><b>Action #9</b> Explore to what extent the Government of Ethiopia would be interested in Bank support to address the challenges of agriculture and livelihoods in Gambella, and other Developing Regional States of Ethiopia. Such support could be provided both through existing projects, such as the Pastoral Communities Development Project and the Second Agricultural Growth Project, and as necessary through a separate operation.</p>	<p><b>Partially Completed.</b> With the Board approval on March 31, 2015, of the Second Agricultural Growth Project, which was expanded to include Gambella, the commitment to support smallholder agriculture in Gambella has been met. With regards to livelihoods, the Ethiopia component of a regional project Development Responses to Displacement Impact in the Horn of Africa, includes Gambella and has a focus on improving livelihoods for host and refugee populations. The concept note was approved and the project is currently under preparation. The project is expected to be submitted for Board approval on May 31, 2016. Once this operation is approved by the Board, the Action will be deemed to be complete.</p>
<p><b>Reducing Systemic Risks relating to Financial Management</b></p>	
<p><b>Action #10</b> Financial system strengthening programs specific to the PBS will continue, including completing the roll-out of the IBEX management system to all woredas.</p>	<p><b>Ongoing</b> The PBS project continues to support PFM-specific interventions that are woreda-focused and include completing the roll-out of IBEX. A four-year budget of about US\$20 million has been allocated through PBS 3 for this endeavor. PFM dialogue is strong, with quarterly high-level PFM sector working group meetings as well as technical-level meetings being conducted regularly. The recently completed Joint Review of Implementation Status (JRIS) assessed the support of the PFM and acknowledged that all deliverables set for the JRIS are met. This action will be deemed completed when all woredas use IBEX or the next generation integrated financial management system.</p>

ACTION	STATUS
<b>Action #11</b> Support for system strengthening will be supplemented through a stand-alone Public Financial Management project.	<b>Completed.</b> After a delay due to the national elections and subsequent Government reshuffling, the project has been submitted and is expected to be approved by the Board on February 25, 2016.
<b>Action #12</b> An action plan will be prepared by the OFAG to strengthen the effectiveness of all regional audit offices.	<b>Completed.</b> While federal audit coverage has reached 98.5 percent, there are regional variations. PBS 3 is supporting OFAG to improve the regional coverage. The action plan has been prepared.
<b>Action #13</b> The audit backlog in Gambella will be tackled directly, together with OFAG, with a specific timeline and benchmarks for doing so.	<b>Completed.</b> The Bank has been closely following up on the audit backlog of the consolidated account of the Gambella region with the OFAG, which has dispatched teams to help the regional auditor to resolve it. The Gambella Office of the Auditor General has conducted the audit and the backlog has now been reduced to one year, which is common in most countries.
<b>Action #14</b> There will be annual benchmarking of public financial management performance for all woredas	<b>Ongoing.</b> This is a DLI in the new ESPES. The operational guidelines are under preparation and will be finalized by Ministry of Finance and Economic Cooperation as a DLI for May 2016.
<b>Improve Knowledge about Program and Its Impacts</b>	
<b>Action #15</b> Enhancing analytical efforts under the Managing for Results component.	<b>Completed.</b> Three analytical pieces have been produced that look at the equity impact of basic services in Ethiopia. Two of these have been published and a third is awaiting publication.